# 3-FOLDS IN $\mathbb{P}^{5}$ OF DEGREE 12 

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#### Abstract

Let $\hat{X}$ be a 3 -dimensional submanifold of $\mathbb{P}^{5}$ of degree 12 . This article gives, up to one case, a complete classification of the deformation classes of those 3 -folds. The main tools used are methods already applied in the classification of degrees 9 to 11 and adjunction theoretic results. We show here how the $2^{\text {nd }}$ reduction of $\hat{X}$ can be applied to analyze the birational structure of $\hat{X}$ or even exclude the existence of $\hat{X}$.


## Introduction

Submanifolds of $\mathbb{P}^{5}$ of codimension 2 are 3 -folds of special interest because there exist non-complete intersection examples, even examples of Kodaira-dimension $\infty$. This is interesting in view of the Hartshorne-conjecture which says that submanifolds of $\mathbb{P}^{N}$ with dimension bigger than $\frac{2}{3} N$ should be complete intersections. Finding examples of 3 -folds in $\mathbb{P}^{5}$ with Kodaira-dimension smaller than 3 is also attractive because we know that the degree of those 3 -folds is bounded [BOSS 1].
A 3 -dimensional manifold can be embedded in general only into $\mathbb{P}^{7}$, whence 3 folds in $\mathbb{P}^{5}$ have to fulfil a lot of further restrictive conditions. This allowed a complete classification up to degree 8 [O1],[O2],[11],[[2], [13], continued by Beltrametti, Schneider and Sommese [BSS 1],[BSS 2] for degrees 9 to 11. This article, which is a summary of the author's dissertation, gives a brief outline of the degree- 12 case. A complete classification is given up to one set of invariants for which neither an example can be given nor can the non-existence be shown.

The main tool for the classification in degree 12 are methods already used by [BSS 1] and [BSS 2] in degrees 9 to 11 and a systematic study of the $2^{\text {nd }}$ reduction. Finding relations between the invariants of a 3 -fold $\hat{X} \subset \mathbb{P}^{5}$ and those of its $2^{\text {nd }}$ reduction ( $X, \mathcal{K}$ ) plays a very important role. The necessary computations are done in detail in [ $E$ ] and [BSS 3]. In fact, I was informed by Sommese about the existence of certain formulae relating the invariants of $\hat{X}$ and $X$ the proof of which I worked out independently.

As to finding examples of 3 -folds in $\mathbb{P}^{5}$ of degree 12 one can use the well-known liaison-techniques. Yet 2 examples have to be constructed in a different way. In one example described here in a way originating to Schreyer we use the computer algebra program Macaulay to show the smoothness.
The content of this article is the following
Theorem 1. Each 3-fold in $\mathbb{P}^{5}$ of degree 12 belongs to one of the following deformation classes. (Refer to paragraph 1 for a definition of the invariants.)

| case | $\hat{d}_{0}$ | $\hat{d}_{1}$ | $\hat{d}_{2}$ | $\hat{d}_{3}$ | $g(\hat{X})$ | $\chi\left(\mathcal{O}_{\hat{X}}\right) \chi\left(\mathcal{O}_{\hat{S}}\right)$ | $e(\hat{X})$ | $\kappa(\hat{X})$ | $d_{0}$ | $d_{1}$ | type |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :--- |
| 1 | 12 | 16 | 8 | 0 | 15 | 2 | 6 | 48 | $-\infty$ | - | - |
| conic-bundle |  |  |  |  |  |  |  |  |  |  |  |
| 2 | 12 | 16 | 14 | 6 | 15 | 1 | 7 | -12 | $-\infty$ | 63 | 31 |
| 3 | 12 | 18 | 21 | 21 | 16 | 0 | 9 | -102 | 0 | 21 | 21 |
| log-general |  |  |  |  |  |  |  |  |  |  |  |
| 4 | 12 | 20 | 28 | 96 | 17 | -1 | 11 | -192 | 1 | 12 | 20 |
| log-general |  |  |  |  |  |  |  |  |  |  |  |
| 5 | 12 | 22 | 35 | 51 | 18 | -2 | 13 | -282 | 2 | 12 | 22 |
| log-general |  |  |  |  |  |  |  |  |  |  |  |
| 6 | 12 | 24 | 48 | 96 | 19 | -5 | 16 | -456 | 3 | 12 | 24 |
| compl. inters. |  |  |  |  |  |  |  |  |  |  |  |
| 7 | 12 | 36 | 108 | 324 | 25 | -19 | 31 | -1296 | 3 | 12 | 36 |
| compl. inters. |  |  |  |  |  |  |  |  |  |  |  |
| 8 | 12 | 22 | 23 | 15 | 18 | 1 | 11 | -198 | $-\infty$ | 53 | 35 |
| $?$ |  |  |  |  |  |  |  |  |  |  |  |

For the cases 1 to 7 we know examples, whereas we cannot decide whether a 3 -fold in $\mathbb{P}^{5}$ with the invariants of case 8 exists.
Uniqueness is known in case 1 [BOSS 2] and for the complete intersections of the cases 6 and 7 respectively. In case 2 we can show that the $2^{\text {nd }}$ reduction has to be a $\mathbb{P}^{1}$-bundle over $\mathbb{P}^{2}$. In case 3 the $2^{\text {nd }}$ reduction is also uniquely determined. Note that for the cases 4 to 7 the $2^{\text {nd }}$ reduction is isomorphic to $\hat{X}$ and that in case 1 there does not exist a $2^{\text {nd }}$ reduction.

The resolutions of the ideal-sheafs $\mathcal{J}_{X / \mathbf{P}^{\mathbf{x}}}$ of the 3 -folds in $\mathbb{P}^{5}$ of degree 12 are the following ones:

| case | resolution of $\mathcal{J}_{\chi / \text { /p }}$ |
| :---: | :---: |
| 1 |  |
| 2 | $0 \longrightarrow 2 \Omega_{\mathbf{P}^{6}}^{2}(-3) \longrightarrow 3 \Omega_{\mathbf{P}^{6}}^{1}(-4) \oplus 6 \mathcal{O}_{\mathbf{P}^{\text {d }}}(-5) \longrightarrow \mathcal{J}_{\mathbb{X} / \mathbf{p}^{\mathbf{t}}} \longrightarrow 0$ |
| 3 |  |
| 4 | $0 \longrightarrow 2 \mathcal{O}_{\mathrm{P}^{6}}(-6) \longrightarrow 3 \mathcal{O}^{\text {¢ }}$ ( -4$) \longrightarrow \mathcal{J}_{\chi / / \mathrm{p}^{\text {d }}} \longrightarrow 0$ |
| 5 |  |
| 6 | $0 \longrightarrow \mathcal{O}_{\mathbf{P}^{\text {t }}}(-7) \longrightarrow \mathcal{O}_{\mathbf{P}^{\text {b }}}(-4) \oplus \mathcal{O}_{\mathbf{P}^{\text {t }}}(-3) \longrightarrow \mathcal{J}_{\mathbb{X} / \mathrm{P}^{\text {b }}} \longrightarrow 0$ |
| 7 | $0 \longrightarrow \mathcal{O}_{\mathbf{P}^{\text {b }}}(-8) \longrightarrow \mathcal{O}_{\mathbf{P}^{\delta}}(-6) \oplus \mathcal{O}_{\mathbf{P}^{6}}(-2) \longrightarrow \mathcal{J}_{\mathcal{X} / \mathrm{P}^{\text {d }}} \longrightarrow 0$ |

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## 1. Notations and Preliminaries

We work over the complex field $\mathbb{C}$. All varieties are projective. By "3-fold" we always mean a 3 -dimensional complex compact manifold, "surface" denotes a 2 -dimensional compact complex variety, "curve" a 1-dimensional compact complex variety.

The greater part of our notations is standard in algebraic geometry. (cf. e.g. [BSS 1], [BSS 2]) Notations with hat ^ are principally reserved for a 3 -fold $\hat{X}$ embedded into $\mathbb{P}^{5}$ by $\hat{L}:=\mathcal{O}_{P^{s}}(1) \mid \hat{X}$. By $\hat{S}$ we always mean a generic hyperplane section of $\hat{X}$, which is a smooth surface in $\mathbb{P}^{4}$, and $\hat{C}$ denotes a generic hyperplane section of $\hat{S}$. So $\hat{C}$ is a smooth curve in $\mathbb{P}^{3}$. Furthermore we denote by $g(\hat{X})$ the sectional genus of $\hat{X}$, by $\chi\left(\mathcal{O}_{\hat{X}}\right)$, respectively $\chi\left(\mathcal{O}_{\dot{S}}\right)$ the Euler characteristic of $\hat{X}$, respectively of $\hat{S}$ and by $e(\hat{X})$ the topological Euler characteristic. The Kodairadimension is as usual denoted by $\kappa$.

For a polarized pair $\left(V, L_{V}\right)$, where $V$ is an n-dimensional manifold and $L_{V}$ an ample line bundle on $V$ there exists a $1^{\text {st }}$ reduction ( $V^{\prime}, L_{V^{\prime}}$ ), if $K_{V}+(n-1) L_{V}$ is nef and big. Note that for a 3 -fold $\hat{X} \subset \mathbb{P}^{5}$ of degree $\hat{d} \neq 7$ one always has $(\hat{X}, \hat{L}) \simeq\left(X^{\prime}, L_{X^{\prime}}\right)$ as polarized pairs whenever a $1^{\text {st }}$ reduction $\left(X^{\prime}, L_{X^{\prime}}\right)$ exists and that for $\hat{d} \geq 12$ the line bundle $K_{\hat{X}}+\hat{L}$ is always nef and big with one single well-known exception mentionned in 2.6. If $K_{\hat{X}}+\hat{L}$ is nef and big, $\hat{X}$ is said to be of $\log$ - general type. For $\hat{X}$ of $\log$-general type the $1^{s t}$ reduction is always isomorphic to $\hat{X}$ and there always exists a $2^{\text {nd }}$ reduction $(X, \mathcal{K})$ together with a birational morphism $\varphi: \hat{X} \longrightarrow X$, the so-called $2^{\text {nd }}$ reduction map. Note that $\mathcal{K}$ is an ample line bundle on $X$ with $\varphi^{*} \mathcal{K}=K_{\hat{X}}+\hat{L}$ and $\mathcal{K}=K_{X}+L_{X}$ with $L_{X}:=\varphi_{*}(\hat{L})^{\vee V}$. The $2^{\text {nd }}$ reduction map will be examined more closely lateron. Further information about the $1^{\text {tt }}$ and the $2^{\text {nd }}$ reduction can also be obtained from [BFS].

On $\hat{X}$ respectively on $X$ we define the pluridegrees by

$$
\begin{array}{lr}
\hat{d}_{i}:=\left(K_{X}+\hat{L}\right)^{i} \hat{L}^{3-i} & i=0, \ldots, 3 \\
d_{i}:=\left(K_{X}+L_{X}\right)^{i} L_{X}^{3-i} & i=0, \ldots, 3
\end{array}
$$

respectively.

A 3 -fold of log-general type satisfies a lot of numerical restrictions so that for a fixed degree $\hat{d}$ one gets a finite number of sets of possible invariants. Putting together all those restrictions which are already known from [BSS 1], [BSS 2] and [BBS] a simple C-programme yields the following list of possible sets of invariants of $\log$-general type 3 -folds in $\mathbb{P}^{5}$ of degree 12. For further details see [ $E$, chap. 1].

[^0]| case | $\hat{d}_{0}$ | $\hat{d}_{1}$ | $\hat{d}_{2}$ | $\hat{d}_{3}$ | $g(\hat{X})$ | $\chi\left(\mathcal{O}_{\hat{X}}\right)$ | $\chi\left(\mathcal{O}_{\hat{S}}\right)$ | $e(\hat{X})$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 12 | 12 | 12 | 12 | 13 | 0 | 5 | 24 |
| 2 | 12 | 16 | 14 | 6 | 15 | 1 | 7 | -12 |
| 3 | 12 | 16 | 20 | 12 | 15 | 0 | 8 | -72 |
| 4 | 12 | 18 | 21 | 21 | 16 | 0 | 9 | -102 |
| 5 | 12 | 18 | 27 | 27 | 16 | -1 | 10 | -162 |
| 6 | 12 | 20 | 22 | 6 | 17 | 1 | 10 | -108 |
| 7 | 12 | 20 | 28 | 12 | 17 | 0 | 11 | -168 |
| 8 | 12 | 20 | 28 | 36 | 17 | -1 | 11 | -192 |
| 9 | 12 | 22 | 17 | 9 | 18 | 2 | 10 | -78 |
| 10 | 12 | 22 | 29 | 15 | 18 | 1 | 11 | -198 |
| 11 | 12 | 22 | 29 | 21 | 18 | 0 | 12 | -198 |
| 12 | 12 | 22 | 35 | 27 | 18 | -1 | 13 | -258 |
| 13 | 12 | 22 | 35 | 51 | 18 | -2 | 13 | -282 |
| 14 | 12 | 24 | 48 | 96 | 19 | -5 | 16 | -456 |
| 15 | 12 | 36 | 108 | 324 | 25 | -19 | 31 | -1296 |

Note that this procedure can be applied in any degree. The length of the list, however, is rapidly increasing with growing degree.

## 2. Examples of 3-folds in $\mathbb{P}^{5}$ of Degree 12

There are 2 complete intersection 3 -folds in $\mathbb{P}^{5}$ of degree 12 :
Example 2.1. The complete intersection of type $(2,6)$ with the invariants $\hat{d}_{0}=12$, $\hat{d}_{1}=36, \hat{d}_{2}=108, \hat{d}_{3}=324, g(\hat{X})=25, \chi\left(\mathcal{O}_{\hat{X}}\right)=-19, \chi\left(\mathcal{O}_{s}\right)=31, e(\hat{X})=$ -1296 is the uniquely determined example with maximal sectional genus.

Example 2.2. The complete intersection of type $(3,4)$ is the uniquely determined example with submaximal sectional genus. The invariants are $\hat{d}_{0}=12, \hat{d}_{1}=24$, $\hat{d}_{2}=48, \hat{d}_{3}=96, g(\hat{X})=19, \chi\left(\mathcal{O}_{\hat{X}}\right)=-5, \chi\left(\mathcal{O}_{\xi}\right)=16, e(\hat{X})=-456$.

Two further examples can be obtained by liaison-techniques [PS, Prop. 4.1] [O3, 3. Theorem 6] from 3 -folds in $\mathbb{P}^{5}$ with degrees smaller than 12 as explained in [ $E$, chap. 2]. By this method one also gets an explicit resolution of the idealsheaf. Thus for examples obtained by liaison generally all invariants are known. We have

Example 2.3. Linkage $V \quad 3,5 \quad \hat{X}$ where $V$ denotes the Segre-embedded 3-fold in $\mathbb{P}^{5}$ of degree $\Omega$ with resolution

$$
0 \longrightarrow 2 \mathcal{O}_{\mathbf{P}^{6}}(-3) \longrightarrow 3 \mathcal{O}_{\mathbf{P}^{6}}(-2) \longrightarrow \mathcal{J}_{V} \longrightarrow 0
$$

gives a 3-fold $\hat{X} \subset \mathbb{P}^{5}$ with resolution

$$
0 \longrightarrow 3 \mathcal{O}_{\mathbf{P} \delta}(-6) \longrightarrow 3 \mathcal{O}_{\mathbf{P} \delta}(-5) \oplus \mathcal{O}_{\mathbf{P} \delta}(-3) \longrightarrow \mathcal{J}_{\hat{X}} \longrightarrow 0
$$

This example has invariants $\hat{d}_{0}=12, \hat{d}_{1}=22, \hat{d}_{2}=35, \hat{d}_{3}=51, g(\hat{X})=18$, $\chi\left(\mathcal{O}_{\hat{X}}\right)=-2, \chi\left(\mathcal{O}_{\xi}\right)=13, e(\hat{X})=-282$. Looking at the resolution of $K_{\hat{X}}$ one can show that $\kappa(\hat{X})=2$.

Example 2.4. Let $V$ denote the 3 -fold in $\mathbb{P}^{5}$ of degree 8 with resolution

$$
0 \longrightarrow 2 \mathcal{O}_{\mathbb{P}^{6}}(-5) \longrightarrow \mathcal{O}_{\mathbf{P}^{s}}(-4) \oplus 2 \mathcal{O}_{\mathbf{P}^{6}}(-3) \longrightarrow \mathcal{J}_{V} \longrightarrow 0
$$

Now linkage $V$ 4.5 $\hat{X}$ yields a 3-fold $\hat{X} \subset \mathbb{P}^{5}$ with resolution

$$
0 \longrightarrow 2 \mathcal{O}_{\mathbf{P}^{b}}(-6) \longrightarrow 3 \mathcal{O}_{\mathbf{P}^{b}}(-4) \longrightarrow \mathcal{J}_{\mathbb{X}} \longrightarrow 0
$$

and the invariants $\hat{d}_{0}=12, \hat{d}_{1}=20, \hat{d}_{2}=28, \hat{d}_{3}=36, g(\hat{X})=17, \chi\left(\mathcal{O}_{\mathscr{R}}\right)=-1$, $\chi\left(\mathcal{O}_{s}\right)=11, e(\hat{X})=-192$. The Kodaira-dimension can be shown to be 1 .

Example 2.5. Chang [Ch, p. 107] has already shown that there exists a Buchsbaum s-fold in $\mathbb{P}^{5}$ of degree 12 with resolution

$$
0 \longrightarrow 3 \mathcal{O}_{\mathrm{P} \delta}(-5) \oplus \mathcal{O}_{\mathrm{P}^{s}}(-6) \longrightarrow \Omega_{\mathrm{P}^{\phi}}^{1}(-3) \longrightarrow \mathcal{J}_{\mathbb{X}} \longrightarrow 0
$$

and invariants $\hat{d}_{0}=12, \hat{d}_{1}=18, \hat{d}_{2}=21, \hat{d}_{3}=21, g(\hat{X})=16, \chi\left(\mathcal{O}_{\mathcal{X}}\right)=0$, $\chi\left(\mathcal{O}_{\hat{S}}\right)=11, e(\hat{X})=-102$.

Example 2.6. In [BOSS 2] a further 3-fold in $\mathbb{P}^{5}$ of degree 12 is described. Its resolution is

$$
0 \longrightarrow 4 \mathcal{O}_{\mathbb{P}^{s}}(-5) \oplus \Omega_{\mathrm{P}^{6}}^{4} \longrightarrow \Omega_{\mathrm{P}^{( }}^{2}(-2) \longrightarrow \mathcal{J}_{\mathbb{R}} \longrightarrow 0
$$

and it has the invariants $\hat{d}_{0}=12, \hat{d}_{1}=16, \hat{d}_{2}=8, \hat{d}_{3}=0, g(\hat{X})=15, \chi\left(\mathcal{O}_{\hat{X}}\right)=2$, $\chi\left(\mathcal{O}_{\hat{S}}\right)=6, e(\hat{X})=48$. It is the uniquely determined $\Omega$-fold in $\mathbb{P}^{5}$ with these invariants. We also know that it is a conic-bundle over a K3-surface and it is the only 3-fold in $\mathbb{P}^{5}$ of degree 12 that is not of log-general type.

Now we are going to show that there is a further 3 -fold in $\mathbb{P}^{5}$ of degree 12. This 3 -fold was constructed with the help of Schreyer and Popescu using Macaulay to show the smoothness.

Proposition 2.7. There exists a log-general type 3-fold $\hat{X} \subset \mathbb{P}^{5}$ with $\kappa(\hat{X})=-\infty$ and invariants $\hat{d}_{0}=12, \hat{d}_{1}=16, \hat{d}_{2}=14, \hat{d}_{3}=6, g(\hat{X})=15, \chi\left(\mathcal{O}_{\hat{X}}\right)=1$, $\chi\left(\mathcal{O}_{\hat{S}}\right)=7, e(\hat{X})=-12$ which is the blowing-up of the Bordiga 3-fold along a smooth curve of degree 15 and genus 10. For every 3-fold in $\mathbb{P}^{5}$ with these invariants the $2^{\text {nd }}$ reduction has to be a $\mathbb{P}^{1}$-bundle over $\mathbb{P}^{2}$.
Proof. We construct $\hat{X} \subset \mathbb{P}^{5}$ as determinantal locus of a vector bundle homomorphism $\psi: \mathcal{F} \rightarrow \mathcal{G}$, i.e.

$$
\hat{X}=\left\{p \in \mathbb{P}^{5}: r k \psi(p)<r k(\mathcal{G})\right\} .
$$

We will see below how $\mathcal{F}$ and $\mathcal{G}$ can be chosen. This construction is carried out explicitely with Macaulay the complete Macaulay programme and results being described in [E, sec. 2.3 and appendix B]. Note that Macaulay-computations can only be done over a ring with positive characteristic $p$ with $0<p \leq 31991$. For general reasons it is enough to do the computations for $p$ maximal in order to obtain the same results (esp. concerning the smoothness) for the case that the field is $\mathbb{C}$. Let $S:=\mathbb{Z}\left[x_{0}, \ldots, x_{5}\right]$ and choose a generic $S$-module-homomorphism $9 S(1) \xrightarrow{m} 2 S(2)$ which may be considered to be given by a matrix

$$
m=\left(\begin{array}{ccccccccc}
x_{0} & x_{1} & x_{2} & x_{3} & x_{4} & x_{5} & 0 & 0 & 0 \\
0 & 0 & 0 & x_{0} & x_{1} & x_{2} & x_{3} & x_{4} & x_{5}
\end{array}\right) .
$$

We are going to construct $m$ as a representation of the finite cohomology module $M:=\oplus_{n \in \mathbf{Z}} H^{1}\left(\mathcal{J}_{\mathscr{X}}(n)\right)$. A free resolution of $M$ is the exact sequence

$$
\begin{aligned}
& 0 \rightarrow 3 S(-5) \rightarrow 16 S(-4) \rightarrow 33 S(-3) \rightarrow 5 S(-1) \rightarrow \\
& \oplus 12 S \stackrel{f m \cdot 2}{\rightarrow} 9 S(1) \xrightarrow[m]{m} 2 S(2) \rightarrow M \rightarrow 0 \\
& 30 S(-2) \\
& \hline
\end{aligned}
$$

Choose a linear morphism $6 S \xrightarrow[\rightarrow]{c} 9 S(1)$ by multiplying the $9 \times 12$ submatrix of $f m .2$ with a random $12 \times 6$ matrix with entries in $\mathbb{Z}$. In the free resolution of the transposed morphism $9 S(-1) \xrightarrow{t c} 6 S$ we look at the restriction $S(-5) \xrightarrow{b} 9 S(-1)$. Now the transposed morphism $9 S(1) \xrightarrow{\text { th }} S(5)$ together with $i:=t b \circ f m .2$ gives rise to the exact sequence


The sheafified version
is a resolution of a 2 -codimensional subvariety of $\mathbb{P}^{5}$ of degree 12 and genus 15. From a free minimal resolution of (*) we can compute all the invariants of $\hat{X}$. So there only remains to be shown the smoothness of $\hat{X}$. This is carried out by Macaulay. One can see that the first 6 entries of the matrix $i$ ( $i$ is a $1 \times 12$ matrix) describe a scroll. In order to show the smoothness of $\hat{X}$ we look at the Jacobi-matrix of $i$ and take some $2 \times 2$-minors in the block of the sextics and the quintics. The ideal of these $2 \times 2$-minors together with the equations of the scroll contains $\operatorname{sing}(\hat{X})$. It is enough to choose randomly 3 minors in the quintics and in the sextics to show that $\operatorname{sing}(\hat{X})=0$.
So we have constructed a 3 -fold in $\mathbb{P}^{5}$ with the desired invariants. The birational structure given by the $2^{\text {nd }}$ reduction map can also be examined with Macaulay wherefrom the claimed structure is deduced [ $E$, sec. 2.3]. Note that the $2^{\text {nd }}$ reduction $(X, \mathcal{K})$ is the well-known Bordiga 3 -fold embedded by $|\mathcal{K}|$ into $\mathbb{P}^{5}$. This implies especially that $\kappa(\hat{X})=-\infty$.

Remark 2.8. The 3-fold described in 2.7 can also be constructed in a slightly different way if one starts with the cohomology table. This has the advantage that one gets a better manageable resolution of $\mathcal{J}_{\mathbb{X}}$, namely

$$
0 \longrightarrow 2 \Omega_{\mathrm{P}^{\mathbf{d}}}^{2}(-3) \longrightarrow 3 \Omega_{\mathrm{P}^{\mathbf{s}}}^{1}(-4) \oplus 6 \mathcal{O}_{\mathrm{P}^{\mathrm{b}}}(-5) \longrightarrow \mathcal{J}_{\boldsymbol{X} / \mathrm{p}^{6}} \longrightarrow 0 .
$$

Again, for the smoothness of $\hat{X}$ we need a Macaulay computation.

## 3. The $2^{\text {nd }}$ reduction $(X, \mathcal{K})$

Analyzing the $2^{\text {nd }}$ reduction map $\varphi: \hat{X} \longrightarrow X$ for a 3 -fold $\hat{X} \subset \mathbb{P}^{5}$ of loggeneral type can in certain cases show the non-existence of $\hat{X}$ or, at least, one obtains some birational information about $\hat{X}$. The structure of $\varphi$ for general 3folds (without the embedding condition into $\mathbb{P}^{5}$ ) has been known explicitely [BFS, 0.2.1] where a list of all possible contractions of divisors to points or curves is given. Divisorial contractions, however, may cause singularities in $X$ which make it difficult to define and compute invariants of $X$. Fortunately, making systematically use of the embedding-condition of $\hat{X}$ into $\mathbb{P}^{5}$, most of those divisorial contractions can be excluded. The computations which are rather complicated can be found in $[E$, chap. 3] and also in [BSS 3]. The result is the following

Theorem 3.1. Let $\hat{X}$ be a 9-fold in $\mathbb{P}^{5}$ of log-general type and $\varphi: \hat{X} \longrightarrow X$ the $2^{\text {nd }}$ reduction map. If $\hat{d} \neq 10,13$ the map $\varphi$ can only blow down disjoint ruled surfaces $D_{i} \subset \hat{X}$ to smooth curves $C_{i} \subset X$ where $C_{i}$ is isomorphic to the base curve of $D_{i}$. In case $\hat{d}=13$ there may occur in addition contractions of disjoint divisors $D \simeq \mathbb{P}^{2}$ with normal bundle $\mathcal{N}_{D / X}=\mathcal{O}_{\mathrm{p}}(-2)$ to points.

Corollary 3.2. If in $3.1 \hat{d} \neq 10,13$ the $2^{\text {nd }}$ reduction is smooth.
Next we prove some formulae relating the invariants $\hat{d}_{i}$ of $\hat{X}$ with the invariants $d_{i}$ of $X$. For the rest of this article we always restrict our considerations to the case $\hat{d} \neq 10,13$.

Lemma 3.3. Let $\varphi: \hat{X} \longrightarrow X$ be the $2^{\text {nd }}$ reduction map and $D \subset \hat{X}$ a ruled surface blown down by $\varphi$ to a curve $C \subset X$. Furthermore let $\ell$ denote a fibre of the ruled surface $D$ and $\mathcal{L}, \mathcal{L}^{\prime}$ line bundles in $\operatorname{PIC}(X)$. Then we have:
i) $\left(\varphi^{*} \mathcal{L} . \ell\right)=0$ and $D \ell=-1$,
ii) $\left(\varphi^{*} \mathcal{L}\right)\left(\varphi^{*} \mathcal{L}^{\prime}\right) D=0$, especially $\left(\varphi^{*} \mathcal{L}\right)^{2} D=0$,
iii) $\left(\varphi^{*} \mathcal{L}\right) D^{2}=-\mathcal{L} C$,
iv) $D^{3}=-c_{1}\left(\mathcal{N}_{C / X}\right)$,
v) $K_{X} C=-c_{1}\left(\mathcal{N}_{C / X}\right)-2+2 g(C)$
vi) $\left(\varphi^{*} \mathcal{L}\right) D=(\mathcal{L} C) \ell$ in $H^{4}(\hat{X}, \mathbb{Z})$.

Proof. These are well-known expressions. See e.g. [M, p. 75].
Proposition 3.4. Let $\varphi: \hat{X} \longrightarrow X$ be the $2^{\text {nd }}$ reduction map contracting the disjoint ruled surfaces $D_{a}:=\cup D_{i}$ to curves $C_{a}:=\cup C_{i}$. Then we have
i) $d_{3}=\hat{d}_{3}$,
ii) $d_{2}=\hat{d}_{2}$,
iii) $d_{1}=\hat{d}_{1}+\mathcal{K} C_{a}$, especially $d_{1} \geq \hat{d}_{1}$,
iv) $\hat{d}_{0}+\hat{d}_{1}=d_{0}+d_{1}-2 \sum_{i}\left(\hat{L}^{2} D_{i}+g\left(C_{i}\right)-1\right)$.

Proof.
i) $\hat{d}_{3}=\left(K_{\hat{X}}+\hat{L}\right)^{3}=\left(\varphi^{*} \mathcal{K}\right)^{3}=\mathcal{K}^{3}=\left(K_{X}+L_{X}\right)^{3}=d_{3}$.
ii) From [BFS, p. 38] we know a formula for $K_{\hat{X}}$ which under our additional assumptions simplifies to

$$
K_{\hat{X}}=\varphi^{*} K_{X}+D_{a}
$$

Now with 3.3 we have

$$
\begin{aligned}
d_{2} & =\mathcal{K}^{2} L_{X}=\left(\varphi^{*} \mathcal{K}\right)^{2} \varphi^{*} L_{X} \\
& =\left(\varphi^{*} \mathcal{K}\right)^{2}\left(\varphi^{*} \mathcal{K}-\varphi^{*} K_{X}\right) \\
& =\left(\varphi^{*} \mathcal{K}\right)^{2} \varphi^{*} \mathcal{K}-\left(\varphi^{*} \mathcal{K}\right)^{2}\left(K_{X}-D_{a}\right) \\
& =\left(K_{X}+\hat{L}\right)^{2} \hat{L}+\left(K_{\hat{X}}+\hat{L}\right)^{2} K_{\hat{X}}-\left(\varphi^{*} \mathcal{K}\right)^{2} K_{\hat{X}} \\
& =\hat{d}_{2}
\end{aligned}
$$

iii) Is proved in a similar way as $i i$ ).
$i v)$ Because of $K_{\mathbb{X}}+\hat{L}=\varphi^{*} \mathcal{K}=\varphi^{*}\left(K_{X}+L_{X}\right)$ and $K_{\mathbb{X}}=\varphi^{*} K_{X}+D_{a}$ we have

$$
\hat{L}=\varphi^{*} L_{X}-D_{a}
$$

For $j$ fixed we get

$$
\begin{aligned}
\hat{L}^{2} D_{j} & =\left(\varphi^{*} L_{X}-\sum D_{i}\right)^{2} D_{j} \\
& =\left(\varphi^{*} L_{X}\right)^{2} D_{j}+\left(\sum D_{i}\right)^{2} D_{j}-2 \sum\left(\varphi^{*} L_{X}\right) D_{i} D_{j}
\end{aligned}
$$

The $D_{i}^{\prime} s$ being disjoint this simplifies with 3.3 iii) to

$$
\begin{aligned}
\hat{L}^{2} D_{j} & =\left(\varphi^{*} L_{X}\right)^{2} D_{j}+D_{j}^{3}-2 \varphi^{*} L_{X} D_{j}^{2} \\
& =D_{j}^{3}+2\left(L_{X} \cdot C_{j}\right)
\end{aligned}
$$

The following computation now shows the assertion (apply frequently 3.3).

$$
\begin{aligned}
\hat{d}_{0}+\hat{d}_{1}= & 2 \hat{L}^{3}+\hat{L}^{2} K_{X} \\
= & 2\left(\varphi^{*} L_{X}-\sum D_{i}\right)^{3}+\left(\varphi^{*} K_{X}+\sum D_{i}\right)\left(\varphi^{*} L_{X}-\sum D_{i}\right)^{2} \\
= & 2\left(\varphi^{*} L_{X}\right)^{3}-5\left(\varphi^{*} L_{X}\right)^{2}\left(\sum D_{i}\right)+4\left(\varphi^{*} L_{X}\right)\left(\sum D_{i}\right)^{2}-\left(\sum D_{i}\right)^{3} \\
& +\varphi^{*} K_{X}\left(\varphi^{*} L_{X}\right)^{2}-2 \varphi^{*} K_{X} \varphi^{*} L_{X}\left(\sum D_{i}\right)+\varphi^{*} K_{X}\left(\sum D_{i}\right)^{2} \\
= & 2\left(\varphi^{*} L_{X}\right)^{3}+\varphi^{*} K_{X}\left(\varphi^{*} L_{X}\right)^{2}-4 \sum\left(L_{X} C_{i}\right)-\sum\left(K_{X} C_{i}\right)-\sum\left(D_{i}\right)^{3} \\
= & 2 L_{X}^{3}+L_{X}^{2} K_{X}-2 \sum\left(2\left(L_{X} C_{i}\right)+D_{i}^{3}\right)-\sum\left(K_{X} C_{i}\right)+\sum\left(D_{i}\right)^{3} \\
= & d_{0}+d_{1}-2 \sum \hat{L}^{2} D_{i}-\sum\left(-c_{1}\left(\mathcal{N}_{C_{i} / X}\right)-2+2 g\left(C_{i}\right)\right)+\sum\left(D_{i}\right)^{3} \\
= & d_{0}+d_{1}-2 \sum\left(\hat{L}^{2} D_{i}+g\left(C_{i}\right)-1\right) .
\end{aligned}
$$

Corollary 3.5. From 3.4 iv ) we see that the congruence $d_{0} \equiv d_{1}(2)$ holds because there is always the congruence $\hat{d}_{0} \equiv \hat{d}_{1}(2)$ [BBS, p. 844].

Lemma 3.6. Let $\varphi: \hat{X} \longrightarrow X$ be the $2^{\text {nd }}$ reduction map and $D_{a}:=\cup D_{i}$ the disjoint union of the ruled surfaces which are contracted to $C_{a}:=\cup C_{i}$. Then there hold the following relations:
i) $6 \mathcal{K} C_{a}=\Sigma\left(\left(\hat{d}_{0}-9\right) \hat{L}^{2} D_{i}+2\left(g\left(C_{i}\right)-1\right)\right)$,
ii) $d_{0}+d_{1}-\hat{d}_{0}-\hat{d}_{1}=6 \mathcal{K} C_{a}-\left(\hat{d}_{0}-11\right) \hat{L}^{2} D_{a}$,
iii) $d_{0}-5 d_{1}-\hat{d}_{0}+5 \hat{d}_{1}=\left(11-\hat{d}_{0}\right) \hat{L}^{2} D_{a}$
iv) $e(X)=e(\hat{X})+6\left(d_{1}-\hat{d}_{1}\right)-\left(\hat{d}_{0}-9\right) \hat{L}^{2} D_{a}$.

Proof. Only $i$ ) needs a longer calculation carried out in $[E, 3.2 .11]$, whereas $i i$ ) follows with $3.4 i v$ ) out of $i$ ), iii) is obvious from $i i$ ) inserting $d_{1}=\hat{d}_{1}+\mathcal{K} C_{a}$ and finally $i v$ ) follows from $i$ ) using the additivity of the topological Euler characteristic and once again $d_{1}=\hat{d_{1}}+\mathcal{K} C_{a}$ :

$$
\begin{aligned}
e(\hat{X}) & =e\left(\hat{X} \backslash D_{a}\right)+e\left(D_{a}\right) \\
& =e\left(X \backslash C_{a}\right)+\sum e\left(D_{i}\right) \\
& =e(X)-\sum\left(2-2 g\left(C_{i}\right)\right)+\sum\left(4-4 g\left(C_{i}\right)\right) \\
& =e(X)+2 \sum\left(1-g\left(C_{i}\right)\right) \\
& =e(X)+\left(\hat{d}_{0}-9\right) \hat{L}^{2} D_{a}-6 \mathcal{K} C_{a} \\
& =e(X)+\left(\hat{d}_{0}-9\right) \hat{L}^{2} D_{a}-6\left(d_{1}-\hat{d}_{1}\right) .
\end{aligned}
$$

Lemma 3.7. On the $2^{\text {nd }}$ reduction $(X, \mathcal{K})$ there is the estimate

$$
d_{2}^{2} \geq d_{1} d_{3}
$$

Proof. Apply the generalized Hodge index theorem [BBS, 0.15] with $M:=\mathcal{K}$ and $N:=L_{X}$ for $j=2$. Note that for $j=2$ the nef assumption on $N$ is not necessary [ $\mathrm{E}, 1.3 .2$ ].

Lemma 3.8. If on the $2^{\text {nd }}$ reduction the line bundle $K_{X}+2 \mathcal{K}$ is nef we get the inequality

$$
3 d_{1} d_{2}+9 d_{1} d_{3}-9 d_{2}^{2}+d_{0} d_{2}-3 d_{0} d_{3}-d_{1}^{2} \leq 0
$$

Proof. We apply the generalized Hodge index theorem [BBS, 0.15] with $M:=\mathcal{K}$, $N:=K_{X}+2 \mathcal{K}$ and $j=1$.

## 4. Non-Existence

In some cases short arguments, partly already known from the classification in degrees 9 to 11 exclude the existence of 3 -folds in $\mathbb{P}^{5}$.
Proposition 4.1. There do not exist 3-folds in $\mathbb{P}^{5}$ of log-general type with invariants as in cases 3,7,11 or 12 in the list 1.1 of possible candidates.
Proof. Each time there holds $\chi\left(\mathcal{O}_{\hat{X}}\right) \leq 0$, thus $p_{g}(\hat{X}) \geq 1$. So we have $\kappa(\hat{X}) \geq 0$. A 3 -fold in $\mathbb{P}^{5}$ of log-general type with non-negative Kodaira-dimension always fulfills the inequality $\hat{d}_{3} \geq 3\left(\chi\left(\mathcal{O}_{\hat{S}}\right)-\chi\left(\mathcal{O}_{\hat{X}}\right)\right)-10$ [BSS 2, Lemma 4.2] which gives a contradiction in our cases.

Proposition 4.2. There does not exist a 3-fold in $\mathbb{P}^{5}$ with invariants as in case 1 in the list 1.1.

Proof. (cf. [BSS 1, Prop. 3.6])
We consider

$$
K_{\hat{X}}|\hat{S} \cdot \hat{L}| \hat{S}=K_{\hat{X}} \hat{L}^{2}=\hat{d}_{1}-\hat{d}_{0}=0
$$

As $\hat{L} \mid \hat{S}=L_{\hat{S}}$ is ample, application of the usual Hodge index theorem shows that either $\left(K_{\hat{X}} \mid \hat{S}\right)^{2}<0$ or $\left(K_{\hat{X}} \mid \hat{S}\right)^{2}=0$ and $K_{\hat{X}} \mid \hat{S} \equiv 0$. Because of

$$
\left(K_{\hat{X}} \mid \hat{S}\right)^{2}=K_{\hat{S}}^{2}+L_{\hat{S}}^{2}-2 K_{\hat{S}} L_{\hat{S}}=\hat{d}_{2}+\hat{d}_{0}-2 \hat{d}_{1}=0
$$

we obtain $K_{\hat{X}} \mid \hat{S} \equiv 0$, whence $K_{\hat{X}} \equiv 0$ because $P I C(\hat{X}) \longrightarrow P I C(\hat{S})$ is injective [ $\mathrm{F}, 7.1 .5$ ]. From $\chi\left(\mathcal{O}_{\hat{X}}\right)=0$ we deduce that $p_{g}(\hat{X})>0$ which implies linear equivalence $K_{\hat{X}} \sim 0$. So $p_{g}(\hat{X})=1$ and $h^{2}\left(\hat{X}, \mathcal{O}_{\hat{X}}\right)=0$. The long exact cohomology sequence of

$$
0 \longrightarrow \mathcal{O}_{\hat{x}}(-1) \longrightarrow \mathcal{O}_{\hat{X}} \longrightarrow \mathcal{O}_{\hat{s}} \longrightarrow 0
$$

contains the part

$$
\underset{\|}{H^{2}\left(\hat{X}, \mathcal{O}_{\hat{x}}\right)} \longrightarrow H^{2}\left(\hat{S}, \mathcal{O}_{\hat{S}}\right) \longrightarrow H^{3}\left(\hat{X}, \mathcal{O}_{\hat{X}}(-1)\right) \longrightarrow H^{3}\left(\hat{X}, \mathcal{O}_{\hat{x}}\right) \longrightarrow 0
$$

This yields a contradiction because we have

$$
\begin{array}{ll}
h^{2}\left(\hat{S}, \mathcal{O}_{\dot{S}}\right) & =h^{0}\left(\hat{S}, K_{\hat{S}}\right)=\chi\left(\mathcal{O}_{\bar{S}}\right)-1=4 \\
h^{3}\left(\hat{X}, \mathcal{O}_{X}(-1)\right) & =h^{0}\left(\hat{X}, \hat{L}+K_{\hat{X}}\right)=h^{0}(\hat{X}, \hat{L})=h^{0}\left(\mathbb{P}^{5}, \mathcal{O}_{\mathbb{P}^{b}}(1)\right)=6, \\
h^{3}\left(\hat{X}, \mathcal{O}_{\hat{X}}\right) & =h^{0}\left(\hat{X}, K_{\hat{X}}\right)=1 .
\end{array}
$$

Proposition 4.3. There does not exist a 3-fold in $\mathbb{P}^{5}$ with invariants as in case 5 in the list 1.1.

Proof. As in this case $\hat{d}_{1}^{2}=\hat{d}_{0} \hat{d}_{2}$ holds, we know from [BBS, 1.1.2 p. 834] that there must be the equality $\hat{d}_{2}^{2}=\hat{d}_{3} \hat{d}_{1}$ as well which, however, is not the case.

Proposition 4.4. There does not exist a 3-fold in $\mathbb{P}^{5}$ with invariants as in case 6 in the list 1.1.

Proof. As all the intersection numbers $K_{\hat{X}}^{3}, K_{\hat{X}}^{2} \hat{L}, K_{\hat{X}} \hat{L}^{2}, \hat{L}^{3}$ can be computed from the $\hat{d}_{i}^{\prime}$ 's we can apply Riemann-Roch to obtain $\chi\left(\hat{X}, 2 K_{\hat{X}}+\hat{L}\right)=-1$. This, however, is a contradiction because from the Kawamata-Viehweg vanishing theorem there follows $\chi\left(\hat{X}, 2 K_{\hat{X}}+\hat{L}\right)=h^{0}\left(\hat{X}, 2 K_{\hat{X}}+\hat{L}\right)$.

Now we deal with case 9 in 1.1 which we are going to exclude applying the already announced analysis of the $2^{\text {nd }}$ reduction. The strategy is to suppose that there is a 3 -fold in $\mathbb{P}^{5}$ of log-general type with the invariants $\hat{d}_{0}=12, \hat{d}_{1}=22, \hat{d}_{2}=17$, $\hat{d}_{3}=9, g(\hat{X})=18, \chi\left(\mathcal{O}_{\hat{X}}\right)=2, \chi\left(\mathcal{O}_{\hat{S}}\right)=10, e(\hat{X})=-78$. Then we distinguish the cases
A) On $(X, \mathcal{K})$ the line bundle $K_{X}+2 \mathcal{K}$ is not nef. This can only occur in very special cases [BFS, Thm. 2.2]
B) On $(X, \mathcal{K})$ the line bundle $K_{X}+2 \mathcal{K}$ is nef but not big. Again this is only possible for a few well-known pairs [BFS, Thm. 2.3]
C) On $(X, \mathcal{K})$ the line bundle $K_{X}+2 \mathcal{K}$ is nef and big.

With the help of the formulae of section 3 we compute the invariants $d_{1}$ and $d_{0}$ on $X$ and get in either case a numerical contradiction. So there cannot exist a $2^{\text {nd }}$ reduction of $\hat{X}$ which is a contradiction to the fact that $\hat{X}$ is of log-general type. Thus this case is excluded.

Lemma 4.5. If there exists a 3-fold $\hat{X} \subset \mathbb{P}^{5}$ of log-general type with invariants as in case 9 in 1.1 then we have the following additional information:
i) $\kappa(\hat{X})=-\infty, \quad h^{2}\left(\hat{X}, \mathcal{O}_{\dot{X}}\right)=1$,
ii) $\kappa(X)=-\infty, \quad h^{2}\left(X, \mathcal{O}_{X}\right)=1, \quad \chi\left(\mathcal{O}_{X}\right)=2, \quad q(X)=0$,

## Proof,

i) If $\kappa(\hat{X}) \geq 0$ one gets a contradiction like in 4.1. So $\kappa(\hat{X})=-\infty$ and $p_{g}(\hat{X})=0$. This implies $h^{2}\left(\hat{X}, \mathcal{O}_{\hat{X}}\right)=1$.
ii) Follows from the birationality of $\varphi$ and the smoothness of $X$.

Lemma 4.6. (Exclusion of $A$ )) There is no 3-fold in $\mathbb{P}^{5}$ with invariants as in case 9 in 1.1 such that on the $2^{\text {nd }}$ reduction the line bundle $K_{X}+2 \mathcal{K}$ is not nef.

Proof. The possible pairs for $(X, \mathcal{K})$ in [BFS, Thm. 2.2] can be excluded by easy arguments [ $E, 4.2 .9$ ].

Lemma 4.7. (Exclusion of $B$ )) There is no 3-fold in $\mathbb{P}^{5}$ with invariants as in case 9 in 1.1 such that on the $2^{\text {nd }}$ reduction the line bundle $K_{X}+2 \mathcal{K}$ is nef but not big.
Proof. There are 3 possible pairs for $(X, \mathcal{K})$ [BFS, Thm. 2.3] two of which are excluded because they necessarily must have $h^{2}\left(X, \mathcal{O}_{X}\right)=0$ contradicting 4.5 ii $)$. The remaining possibility states that $(X, \mathcal{K})$ is a generic $\mathbb{P}^{1}$-bundle over a normal surface $B$ with $\mathcal{K}\left|F=\mathcal{O}_{\mathbb{P}^{1}}(1), L_{X}\right| F=\mathcal{O}_{\mathbb{P}^{1}}(3)$ for a general fibre $F$. As $X$ is smooth (cf. 3.2 ) theorem 3.2.1 in [BSW] implies that there are only equidimensional fibres. This means that X is a $\mathbb{P}^{\text {- }}$-bundle $[\mathrm{BS}$, Prop 1.4] and thus the base $B$ is smooth, too.
From $d_{1} \geq \hat{d}_{1}$ (cf. 3.4 iii ) ) and $d_{1} \leq d_{2}^{2} / d_{3}=32.1 .$. (cf. 3.7 ) and the assumption that $K_{X}+2 \mathcal{K}$ is not big, which leads to

$$
0=(K+2 \mathcal{K})^{3}=\left(3 K_{X}+2 L_{X}\right)^{3}=27 d_{3}-27 d_{2}+9 d_{1}-d_{0}=-d_{0}+9 d_{1}-216
$$

we deduce that only the following pairs $\left(d_{0}, d_{1}\right)$ are possible:

| $d_{0}$ | 72 | 63 | 54 | 45 | 36 | 27 | 18 | 9 | 0 | -9 | -18 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $d_{1}$ | 32 | 31 | 30 | 29 | 28 | 27 | 26 | 25 | 24 | 23 | 22 |

Because of the additivity of the topological Euler characteristic and $3.6 i$ ) and 3.4 iii) we obtain, using the notation of paragraph 2 :

$$
\begin{aligned}
e(X) & =e(\hat{X})+2 \sum\left(g\left(C_{i}\right)-1\right) \\
& =e(\hat{X})+6 \mathcal{K} C_{a}-\sum\left(\hat{d}_{0}-9\right) \hat{L}^{2} D_{i} \\
& =e(\hat{X})+6\left(d_{1}-\hat{d}_{1}\right)-3 \hat{L}^{2} D_{a} \\
& =-210+6 d_{1}-3 \hat{L}^{2} D_{a} .
\end{aligned}
$$

On the other hand, as $X \longrightarrow B$ is a $\mathbb{P}^{1}$-bundle we also have

$$
\begin{aligned}
e(X) & =e\left(\mathbb{P}^{1}\right) e(B) \\
& =2\left(2-2 h^{1,0}(B)+2 h^{2,0}(B)+h^{1,1}(B)\right) \\
& =2\left(2+2+h^{1,1}(B)\right) \\
& \geq 10
\end{aligned}
$$

Now for all of the possible pairs $\left(d_{0}, d_{1}\right)$ the numbers $\hat{L}^{2} D_{a}$ and thus also $e(X)$ can be computed with $3.6 i i i$ ). For each pair $\left(d_{0}, d_{1}\right)$ we get a contradiction to $e(X) \geq 10$ or to $\hat{L}^{2} D_{a}>0$. So the case that $(X, \mathcal{K})$ is a $\mathbb{P}^{1}$-bundle is excluded and the lemma is proved.

Lemma 4.8. Let $\hat{X} \subset \mathbb{P}^{5}$ be a 3-fold with invariants as in case 9 in 1.1. Assume that on the. $2^{\text {nd }}$ reduction the line bundle $K_{X}+2 \mathcal{K}$ is nef and big. Then we have $H^{0}\left(X, K_{X}+\mathcal{K}\right)=0$ and there can only occur the following combinations of $d_{0}$ and $d_{1}$ :

| $d_{1}$ | $d_{0}$ |
| :---: | :--- |
| 32 | $60,62,64,66,68,70$ |
| 31 | $59,55,57,59,61$ |
| 30 | $46,48,50,52$ |
| 29 | $39,41,43$ |
| 28 | 32,34 |
| 27 | 25 |
| 26 | 16 |

Proof. We get $H^{0}\left(X, K_{X}+2 \mathcal{K}\right)=H^{0}\left(X, 2 K_{X}+L_{X}\right)=H^{0}\left(\hat{X}, 2 K_{\hat{X}}+\hat{L}\right)$ where [BFS, 0.2.7] was applied. As $K_{\hat{X}}+\hat{L}$ is nef and big the Kawamata-Viehweg vanishing theorem and the theorem of Riemann-Roch give $h^{0}\left(\hat{X}, 2 K_{\hat{X}}+\hat{L}\right)=\chi\left(2 K_{\hat{X}}+\hat{L}\right)=0$. As to the values for $d_{1}$ and $d_{0}$ : From 3.7 we have $d_{1} \leq d_{2}^{2} / d_{3}=32.1 .$. , from 3.8 we get $132 d_{1}-d_{1}^{2}-10 d_{0} \leq 2601$ and the big-condition for $K_{X}+2 \mathcal{K}$ reads $-216+9 d_{1}-d_{0}>0$. Combing these 3 inequalities together with the congruence $d_{0} \equiv d_{I}(2)$ (cf. 3.5) gives the stated pairs.

Lemma 4.9. (Exclusion of C)) There exists no 3-fold $\hat{X} \subset \mathbb{P}^{5}$ with invariants as in case 9 in 1.1 such that on the $2^{\text {nd }}$ reduction the line bundle $K_{X}+2 \mathcal{K}$ is nef and big.

Proof. We apply the Riemann-Roch theorem [BOSS 1, 1.3] to $K_{X}$ which yields

$$
\begin{aligned}
\chi\left(K_{X}\right) & =\frac{1}{6} K_{X}^{3}-\frac{1}{4} K_{X}^{3}+\frac{1}{12}\left(K_{X}^{2}+c_{2}(X)\right) K_{X}+\chi\left(\mathcal{O}_{X}\right) \\
& =\frac{1}{12} c_{2}(X) K_{X}+2
\end{aligned}
$$

Since on the other hand we have $\chi\left(K_{X}\right)=-\chi\left(\mathcal{O}_{X}\right)=-2$ we know the intersection number $c_{2}(X) K_{X}=-48$. Now, apply again the Riemann-Roch theorem, this time to $K_{X}+\mathcal{K}$. Inserting $c_{2}(X) K_{X}=-48$ and expressing the intersection numbers in terms of the $d_{i}{ }^{\prime} s$ one obtains

$$
\begin{aligned}
\chi\left(K_{X}+\mathcal{K}\right)= & \chi\left(2 K_{X}+L_{X}\right) \\
= & \frac{1}{12}\left(6 K_{X}^{3}+13 L_{X} K_{X}^{2}+9 L_{X}^{2} K_{X}+2 L_{X}^{3}+2 c_{2}(X) K_{X}+c_{2}(X) L_{X}\right) \\
& +\chi\left(\mathcal{O}_{X}\right) \\
= & \frac{1}{12}\left(6 d_{3}-5 d_{2}+d_{1}+2 c_{2}(X) K_{X}+c_{2}(X) L_{X}\right)+\chi\left(\mathcal{O}_{X}\right) \\
= & \frac{1}{12}\left(-103+d_{1}+c_{2}(X) L_{X}\right)
\end{aligned}
$$

As $h^{0}\left(K_{X}+\mathcal{K}\right)=0$ (cf. 4.8) Kodaira-vanishing gives $0=h^{0}\left(K_{X}+\mathcal{K}\right)=\chi\left(K_{X}+\mathcal{K}\right)$ which leads to $c_{2}(X) L_{X}=103-d_{1}$.

Now, Kawamata-Viehweg vanishing and the Riemann-Roch theorem applied to the line bundle $2 K_{X}+2 \mathcal{K}$ lead to

$$
\begin{aligned}
\chi\left(2 K_{X}+2 \mathcal{K}\right)= & \chi\left(4 K_{X}+2 L_{X}\right) \\
= & \frac{1}{12}\left(84 d_{3}-106 d_{2}+44 d_{1}-6 d_{0}+4 c_{2}(X) K_{X}+2 c_{2}(X) L_{X}\right) \\
& +\chi\left(\mathcal{O}_{X}\right) \\
= & \frac{1}{12}\left(-1008+42 d_{1}-6 d_{0}\right)
\end{aligned}
$$

so that we get the necessary numerical condition

$$
-1008+42 d_{1}-6 d_{0}=12 h^{0}\left(X, 2 K_{X}+2 \mathcal{K}\right) \geq 0
$$

None of the potential pairs $\left(d_{0}, d_{1}\right)$ of 4.8 fulfills this condition. So the lemma is proved.

Whenever on a 3 -fold $\hat{X} \subset \mathbb{P}^{5}$ of log-general type the line bundle $K_{\mathcal{X}}+\hat{L}$ is not ample, the $2^{\text {nd }}$ reduction $(X, \mathcal{K})$ is not isomorphic to $(\hat{X}, \hat{L})$ and one can analyze the structure of the $2^{\text {nd }}$ reduction map as done above. In [E, sec. 4.3] this is carried out for the case 10 in 1.1 and we can state the following

Proposition 4.10. A s-fold $\hat{X} \subset \mathbb{P}^{5}$ with invariants $\hat{d}_{0}=12, \hat{d}_{1}=22, \hat{d}_{2}=23$, $\dot{d}_{3}=15, g(\hat{X})=18, \chi\left(\mathcal{O}_{\hat{X}}\right)=1, \chi\left(\mathcal{O}_{\hat{S}}\right)=11, e(\hat{X})=-138$ can only exist if on the $2^{\text {nd }}$ reduction $(X, \mathcal{K})$ the line bundle $K_{X}+2 \mathcal{K}$ is nef and big. There are exactly the following two possibilities:
a) $K_{X}+2 \mathcal{K}$ is not ample and the $1^{s t}$ reduction $\left(X^{\prime}, L_{X^{\prime}}\right)$ of the pair $(X, \mathcal{K})$ is via the nef-value morphism $\Phi_{K_{X^{\prime}}+L_{X^{\prime}}}: X^{\prime} \longrightarrow B$ a generic quadric (conic) bundle over a normal surface $B$. The invariants of $(X, \mathcal{K})$ are uniquely determined, namely $d_{0}=53, d_{1}=35, e(X)=-132$. The $1^{2 t}$ reduction map contracts exactly one $\mathbb{P}^{2}$ and the polarizing bundle $\mathcal{K}$ can be ample and globally generated, yet not very ample.
b) $K_{X}+\mathcal{K}$ is nef, not big and not ample and $(X, \mathcal{K})$ is via the nef-value morphism $\Phi_{K_{X}+K}: X \longrightarrow B$ a generic quadric (conic) bundle over a normal surface $B$. In this case $(X, \mathcal{K})$ has the invaraints $d_{0}=48, d_{1}=34, e(X)=-138$. The polarizing bundle $\mathcal{K}$ cannot be globally generated.

Proof. See [E, sec. 4.3].

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[^0]:    Proposition 1.1. The only possible sets of invariants of log-general type 3-folds in $\mathbb{P}^{5}$ of degree 12 are:

